



# General Assembly

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## Resolution adopted by the General Assembly on 5 December 2016

[on the report of the First Committee (A/71/450)]

### **71/48. The illicit trade in small arms and light weapons in all its aspects**

*The General Assembly,*

*Recalling* its resolution 70/49 of 7 December 2015, as well as all previous resolutions on the illicit trade in small arms and light weapons in all its aspects, including resolution 56/24 V of 24 December 2001,

*Emphasizing* the importance of the continued and full implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, adopted by the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects,<sup>1</sup> and recognizing its important contribution to international efforts on this matter,

*Emphasizing also* the importance of the continued and full implementation of the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons (the International Tracing Instrument),<sup>2</sup>

*Recalling* the commitment of States to the Programme of Action as the main framework for measures within the activities of the international community to prevent, combat and eradicate the illicit trade in small arms and light weapons in all its aspects,

*Underlining* the need for States to enhance their efforts to build national capacity for the effective implementation of the Programme of Action and the International Tracing Instrument,

*Mindful* of the implementation of the outcomes adopted by the follow-up meetings on the Programme of Action,

*Welcoming* the convening of the Second Open-ended Meeting of Governmental Experts on the Implementation of the Programme of Action to Prevent, Combat and

<sup>1</sup> Report of the United Nations Conference on the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, New York, 9–20 July 2001 (A/CONF.192/15), chap. IV, para. 24.

<sup>2</sup> See decision 60/519 and A/60/88 and Corr.2, annex.



Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, in New York from 1 to 5 June 2015, and the Sixth Biennial Meeting of States to Consider the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects, held in New York from 6 to 10 June 2016, to consider the full and effective implementation of the Programme of Action, and the final document adopted therein,<sup>3</sup>

*Welcoming also* the adoption of the 2030 Agenda for Sustainable Development,<sup>4</sup> including Goal 16 of the Sustainable Development Goals,

*Noting* that tools developed by the Office for Disarmament Affairs of the Secretariat, including the Programme of Action Implementation Support System, and those developed by Member States could be used to assess progress made in the implementation of the Programme of Action,

*Welcoming* the coordinated efforts within the United Nations to implement the Programme of Action, including by developing the Programme of Action Implementation Support System, which forms an integrated clearing house for international cooperation and assistance for capacity-building in the area of small arms and light weapons,

*Noting* that voluntary national reports on the implementation of the Programme of Action can serve, inter alia, to provide a baseline for measuring progress in its implementation, build confidence and promote transparency, provide a basis for information exchange and action and serve to identify needs and opportunities for international assistance and cooperation, including the matching of needs with available resources and expertise,

*Noting with satisfaction* regional and subregional efforts being undertaken in support of the implementation of the Programme of Action, and commending the progress that has already been made in this regard, including the tackling of both supply and demand factors that are relevant to addressing the illicit trade in small arms and light weapons,

*Reaffirming* that international cooperation and assistance are an essential aspect of the full and effective implementation of the Programme of Action and the International Tracing Instrument,

*Recognizing* the efforts undertaken by non-governmental organizations in the provision of assistance to States for the implementation of the Programme of Action,

*Recalling* that Governments bear the primary responsibility for preventing, combating and eradicating the illicit trade in small arms and light weapons in all its aspects, in accordance with the sovereignty of States and their relevant international obligations,

*Reiterating* that illicit brokering in small arms and light weapons is a serious problem that the international community should address urgently,

*Highlighting* new challenges and potential opportunities with regard to effective marking, record-keeping and tracing resulting from developments in the

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<sup>3</sup> [A/CONF.192/BMS/2016/2](#).

<sup>4</sup> Resolution 70/1.

manufacturing, technology and design of small arms and light weapons, and bearing in mind the different situations, capacities and priorities of States and regions,

*Taking note* of the report of the Secretary-General,<sup>5</sup> which includes an overview of the implementation of resolution 70/49,

*Welcoming* the inclusion of small arms and light weapons in the scope of the Arms Trade Treaty,<sup>6</sup>

*Acknowledging* efforts related to the transfer of conventional arms that may also contribute to the prevention and eradication of the illicit trade in small arms and light weapons,

1. *Underlines* the fact that the issue of the illicit trade in small arms and light weapons in all its aspects requires concerted efforts at the national, regional and international levels to prevent, combat and eradicate the illicit manufacture, transfer and circulation of small arms and light weapons, and that their uncontrolled spread in many regions of the world has a wide range of humanitarian and socioeconomic consequences and poses a serious threat to peace, reconciliation, safety, security, stability and sustainable development at the individual, local, national, regional and international levels;

2. *Recognizes* the urgent need to maintain and enhance national controls, in accordance with the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects,<sup>1</sup> to prevent, combat and eradicate the illicit trade in small arms and light weapons, including their diversion to illicit trade, illegal armed groups, terrorists and other unauthorized recipients, taking into account, inter alia, their adverse humanitarian and socioeconomic consequences for the affected States;

3. *Calls upon* all States to implement the International Instrument to Enable States to Identify and Trace, in a Timely and Reliable Manner, Illicit Small Arms and Light Weapons (the International Tracing Instrument)<sup>2</sup> by, inter alia, including in their national reports the name and contact information of the national points of contact and information on national marking practices used to indicate country of manufacture and/or country of import, as applicable;

4. *Encourages* all relevant initiatives, including those of the United Nations, other international organizations, regional and subregional organizations, non-governmental organizations and civil society, for the successful implementation of the Programme of Action, and calls upon all Member States to contribute towards the continued implementation of the Programme of Action at the national, regional and global levels;

5. *Encourages* States to implement the recommendations contained in the report of the Group of Governmental Experts established pursuant to resolution 60/81 to consider further steps to enhance international cooperation in preventing, combating and eradicating illicit brokering in small arms and light weapons;<sup>7</sup>

6. *Reaffirms* its endorsement of the report adopted at the Sixth Biennial Meeting of States to Consider the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in

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<sup>5</sup> A/71/438.

<sup>6</sup> See resolution 67/234 B.

<sup>7</sup> See A/62/163 and Corr.1.

All Its Aspects,<sup>3</sup> and encourages all States to implement, as appropriate, the measures highlighted in the annex to the report under the sections entitled “Way forward”;

7. *Recalls* its decision, in accordance with the decision of the Second United Nations Conference to Review Progress Made in the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects,<sup>8</sup> to hold the Third United Nations Conference to Review Progress Made in the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects in 2018 for a period of two weeks, preceded by a one-week preparatory committee meeting early in 2018;

8. *Emphasizes* that international cooperation and assistance remain essential to the full and effective implementation of the Programme of Action and the International Tracing Instrument;

9. *Also emphasizes* the fact that initiatives by the international community with respect to international cooperation and assistance remain essential and complementary to national implementation efforts, as well as to those at the regional and global levels;

10. *Recognizes* the necessity for interested States to develop effective coordination mechanisms, where they do not exist, in order to match the needs of States with existing resources to enhance the implementation of the Programme of Action and to make international cooperation and assistance more effective, and in this regard encourages States to make use, as appropriate, of the Programme of Action Implementation Support System;

11. *Encourages* States to consider, among other mechanisms, the coherent identification of needs, priorities, national plans and programmes that may require international cooperation and assistance from States and regional and international organizations in a position to do so;

12. *Also encourages* States, on a voluntary basis, to make increasing use of their national reports as another tool for communicating assistance needs and information on the resources and mechanisms available to address such needs, and encourages States in a position to render such assistance to make use of these national reports;

13. *Encourages* States, relevant international and regional organizations and civil society with the capacity to do so to cooperate with and provide assistance to other States, upon request, in the preparation of comprehensive reports on their implementation of the Programme of Action;

14. *Encourages* States to reinforce, as necessary, cross-border cooperation at the national, subregional and regional levels in addressing the common problem of the illicit trade in small arms and light weapons in all its aspects, with full respect for each State’s sovereignty over its own borders;

15. *Also encourages* States to take full advantage of the benefits of cooperation with the United Nations regional centres for peace and disarmament, the World Customs Organization, the International Criminal Police Organization (INTERPOL) and the United Nations Office on Drugs and Crime, in accordance with their mandates and consistent with national priorities;

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<sup>8</sup> A/CONF.192/2012/RC/4, annex I, sect. III, paras. 1 and 2.

16. *Encourages* all efforts to build national capacity for the effective implementation of the Programme of Action, including those highlighted in the outcome documents of the Second United Nations Conference to Review Progress Made in the Implementation of the Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects<sup>9</sup> and in the final document of the Sixth Biennial Meeting of States to Consider the Implementation of the Programme of Action;<sup>3</sup>

17. *Encourages* States to submit, on a voluntary basis, national reports on their implementation of the Programme of Action, notes that States will submit national reports on their implementation of the International Tracing Instrument, encourages those States in a position to do so to use the reporting template made available by the Office for Disarmament Affairs of the Secretariat, and reaffirms the utility of synchronizing such reports with biennial meetings of States and review conferences as a means of increasing the submission rate and improving the utility of reports, as well as contributing substantively to meeting discussions;

18. *Encourages* States in a position to do so to provide financial assistance, through a voluntary sponsorship fund, that could be distributed, upon request, to States otherwise unable to participate in meetings on the Programme of Action;

19. *Encourages* interested States and relevant international and regional organizations in a position to do so to convene regional meetings to consider and advance the implementation of the Programme of Action, as well as the International Tracing Instrument, including in preparation for the meetings on the Programme of Action;

20. *Encourages* civil society and relevant organizations to strengthen their cooperation and work with States at the respective national and regional levels to achieve the implementation of the Programme of Action;

21. *Requests* the Secretary-General to report to the General Assembly at its seventy-second session on the implementation of the present resolution;

22. *Decides* to include in the provisional agenda of its seventy-second session, under the item entitled “General and complete disarmament”, the sub-item entitled “The illicit trade in small arms and light weapons in all its aspects”.

*51st plenary meeting  
5 December 2016*

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<sup>9</sup> Ibid., annexes I and II.